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PAGE 01/10



Fitch, Even, Tabin & Flannery

Intellectual Property Law

F A C S I M I L E

DATE: November 4, 2004

NO. OF PAGES: Cover + 9

FROM: Mark W. Hetzler, Esq.

OUR FILE NO.: 79374 (7451)

TO:

TRANSMITTED BY: Susan

Office of Initial Patent Examination
Filing Receipt Corrections

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

(703) 746-9195

U.S. Patent Application Number 10/713,442, Filed November 14, 2003
George J. Franks, Jr., "METHODS AND APPARATUS TO SECURE A GROUND
STRAP ASSEMBLY TO AN ELECTRICALLY CONDUCTIVE MEMBER"
Art Unit 2831; Examiner Dhirubhai, R. Patel
Confirmation No. 9617

Request for Corrected Filing Receipt (2 pp)
Copy of Filing Receipt with changes indicated thereon (2 pp)
Copy of Continuing Patent Application Transmittal (5 pp)

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120 South LaSalle Street, Suite 1600
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln No.: 10/713,442)	Confirmation No. 9617
)	
Filed: November 14, 2003)	
)	
Applicant: George J. FRANKS, JR.)	<u>CERTIFICATION OF</u>
)	<u>FACSIMILE TRANSMISSION</u>
)	
Title: METHODS AND APPARATUS)	I hereby certify that this paper (along with any
TO SECURE A GROUND)	paper referred to as being attached or enclosed)
STRAP ASSEMBLY TO AN)	is being facsimile transmitted via telecopier to
ELECTRICALLY CONDUCTIVE)	the Office of Initial Patent Examination's Filing
MEMBER)	Receipt Corrections, at (703) 746-9195 at the
)	United States Patent and Trademark Office,
)	P.O. Box 1450, Alexandria, VA 22313-1450,
)	on the date shown below.
)	
Art Unit: 2831)	
)	<u>11/4/2004</u> <u>Mark W. Hetzler</u>
)	Date
Examiner: Dhirubhai R. PATEL)	Mark W. Hetzler
)	Registration No. <u>38,183</u>
)	Attorney for Applicant(s)
)	
Attorney Docket No.: 7451/79374)	
)	
Customer No.: 22242)	

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

ATTENTION: Office of Initial Patent Examination
Filing Receipt Corrections

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Enclosed is a copy of the official Filing Receipt we received from the United States Patent and Trademark Office in connection with the above-identified application. We have noted thereon a correction to the filing fee received and an indication of status as a small entity. As indicated on page 4 of the Continuing Patent Application Transmittal filed with the application on November 14, 2003

Application No. 10/713,442

Filed November 14, 2003

REQUEST FOR CORRECTED FILING RECEIPT dated November 4, 2004

(copy attached), the Applicant asserted entitlement to Small Entity Status (37 C.F.R. § 1.27), reducing the Filing Fee by half to \$599.00.

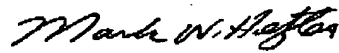
In a separate paper directed to the Refund Section, Accounting Division, Office of Finance, Applicant has requested a refund for the improper charge of fees associated with this application by other than a small entity.

It is respectfully requested that the United States Patent and Trademark Office issue a corrected Filing Receipt to incorporate the changes herein requested.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

By



Mark W. Hetzler

Registration No. 38,183

November 4, 2004

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/713,442	11/14/2003	2831	1498 599	79374	7	39	4

CONFIRMATION NO. 9617

22242
 FITCH EVEN TABIN AND FLANNERY
 120 SOUTH LA SALLE STREET
 SUITE 1600
 CHICAGO, IL 60603-3408

DOCKETED

MAR 04 2004

BY: DG

FILING RECEIPT



OC000000011906318

Date Mailed: 02/17/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

George J. Franks JR., Inverness, IL;

Assignment For Published Patent Application

SENIOR INDUSTRIES, INC.;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/365,293 02/12/2003

Foreign Applications

If Required, Foreign Filing License Granted: 02/13/2004

Projected Publication Date: 08/12/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Methods and apparatus to secure a ground strap assembly to an electrically conductive member

Preliminary Class

174

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**CONTINUING PATENT APPLICATION
TRANSMITTAL** (for Continuing
Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. 79374

Customer No. 22242

First Named Inventor or Application
Identifier: George J. Franks, Jr.

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is a request under 37 C.F.R. §1.53(b) for
filing a:

- ☐ Continuation application,
☐ Divisional application,
☒ Continuation-in-Part application,

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number

EL 667 459 214 US

Date of Deposit November 14, 2003

I hereby certify that this paper or fee is being deposited
with sufficient postage utilizing the United States
Postal Service "Express Mail Post Office to Addressee"
Service under 37 CFR §1.10 on the date indicated
above and is addressed to the Commissioner for
Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dominic Vessell

(Typed or printed name of person mailing)

Dominic Vessell
(Signature of person mailing)

of pending prior application number 10/365,293, filed on February 12, 2003 by
inventor(s) George J. FRANKS, Jr. entitled METHODS AND APPARATUS TO SECURE
GROUND STRAP ASSEMBLY TO AN ELECTRICALLY CONDUCTIVE MEMBER.

Prior application information: Examiner Dhirubhai R. Patel Art Unit 2831.

1. ☐ This is a continuation or divisional application. Enclosed is a copy of the prior
application as originally filed, including specification, claims, drawings, and oath or
declaration.

- or -

- ☒ Enclosed is a patent application (for continuation, divisional, or continuation-in-part
applications) containing:

☒ 21 pages of the specification (including claims).

☒ 7 sheet(s) of drawings. ☒ Formal ☐ Informal

2. ☐ Amend the specification by inserting before the first line the sentence: —This is a
☐ continuation, ☐ division, ☐ continuation-in-part, of prior application number
 , filed , which is hereby incorporated herein by reference in its
entirety.— The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied under paragraph 3 below, is considered as being part

SH4T265429973
EL667459214US

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of the disclosure of the accompanying application, and is hereby incorporated by reference therein.

3. ☐ A copy of the executed Oath or Declaration filed in the prior nonprovisional application is enclosed.
4. ☒ Inventorship:
- ☒ A newly-executed Oath or Declaration and Power of Attorney is enclosed (for continuation-in-part applications, or for continuation or divisional applications naming an inventor not named in the prior application) (§1.63(a), (d)(5) and (e)).
- ☐ Because this application is being filed by fewer than all of the inventors named in the prior application, delete the following inventor(s) named in the prior nonprovisional application (37 C.F.R. §1.63(d)(1)(2)):
- _____
- _____
- ☐ The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).
5. ☒ An Assignment of the Invention to SENIOR INDUSTRIES, INC. and cover sheet are enclosed.
- ☐ A check in the amount of \$_____ to cover the fee for recording the assignment is enclosed.
- ☒ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment.
6. ☒ The prior application is assigned of record to SENIOR INDUSTRIES, INC.
7. ☐ A 37 C.F.R. 53.73(b) statement is enclosed where an assignee seeks to take action.
8. ☐ A preliminary amendment is enclosed.
9. ☒ Drawings:
- ☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May be used only if signed by person authorized by §1.138 and before payment of base issue fee.)

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Attorney Docket No. 79374

- ☒ New formal drawings are enclosed.
- ☐ Informal drawings are enclosed.
10. ☒ A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.
11. ☐ An Information Disclosure Statement is enclosed.
- ☐ A Form PTO/SB/08 is enclosed.
- ☐ _____ References (copies) listed on the Form PTO/SB/08 are enclosed.
12. ☐ A Computer Program Listing Appendix is enclosed.
- ☐ A Transmittal Cover Letter for Computer Program Listing Appendix is enclosed.
- ☐ Two (2) Compact Discs are enclosed.
13. ☐ A Nucleotide and/or Amino Acid Sequence Submission is enclosed.
- ☐ A Computer Readable Copy is enclosed.
- ☐ A Paper Copy (Identical to Computer Copy) is enclosed.
- ☐ A Statement Verifying Identity of above Copies is enclosed.
14. ☒ A Return Receipt Postcard is enclosed (MPEP §503).
15. ☐ Priority of application number _____ filed on _____ in _____ is claimed under 35 U.S.C. §119.
- ☐ The certified copy of the priority document has been filed in prior application number _____, filed _____.
- ☐ A certified copy of the priority document is enclosed.

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Attorney Docket No. 79374

16. ☐ Power of Attorney:☐ The power of attorney in the prior application is to:

☐ _____, Registration No. _____
FITCH, EVEN, TABIN & FLANNERY
 120 South LaSalle Street, Suite 1600
 Chicago, Illinois 60603-3408
 and other members of the firm.

☐ Customer Number 22242.

☐ The power appears in the original papers in the prior application.

☐ Since the power does not appear in the original papers in the prior application, a copy of the power in the prior application is enclosed.

17. ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)18. ☒ The filing fee is calculated below:

Fee Calculation for Claims as Filed in the Prior Application.
Less Any Claims Cancelled by Amendment

Basic Utility Fee		\$770.00	\$	770.00
Independent Claims	<u>4</u> - <u>3</u> = <u>1</u>	x	\$ 86.00	= \$ 86.00
Total Claims	<u>39</u> - <u>20</u> = <u>19</u>	x	\$ 18.00	= \$ 342.00
Fee for Multiply Dependent Claims		\$290.00	\$	0.00

or

Basic Design Fee	\$340.00	
Total Filing Fee	\$ 1,198.00	

☒ Applicant(s) assert entitlement to Small Entity Status (37 C.F.R. § 1.27), reducing the Filing Fee by half to: \$ 599.00

19. ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.20. ☒ Charge \$ 639.00 to Deposit Account No. 06-1135.21. ☐ The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.

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Attorney Docket No. 79374

22. ☒ The Director is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Director is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This request is filed in triplicate.
23. ☐ Also enclosed:
24. ☒ Address all future communications to CUSTOMER NUMBER 22242.

November 14, 2003

Date

Mark W. Hetzler

Mark W. Hetzler

Registration No. 38,183☒ Attorney or agent of record☐ Filed under §1.34(a)

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